

**SAMHSA-HRSA
Center for Integrated
Health Solutions**

**Beginning Assessment and Treatment Planning
of Tobacco Users**

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NATIONAL COUNCIL
ON ADDICTION DISORDERS AND DEPENDENCE

SAMHSA

www.integration.samhsa.gov

What to Assess

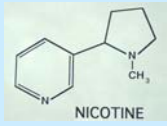
- **Severity of Tobacco Use Disorder**
(Level of Dependence)
- **Motivation to Quit**
- Financial Implications
- Medical Consequences
- Psychiatric Consequences
- Other Tobacco Use
- Quitting History

Tobacco Use Disorder
DSM 305.1

Most tobacco users are addicted (2 or more)

- withdrawal
- tolerance
- desire or efforts to cut down/ control use
- great time spent in obtaining/using
- reduced occupational, recreational activities
- use despite problems
- larger amounts consumed than intended
- Craving; strong urges to use

DSM-5



Nicotine Pharmacology

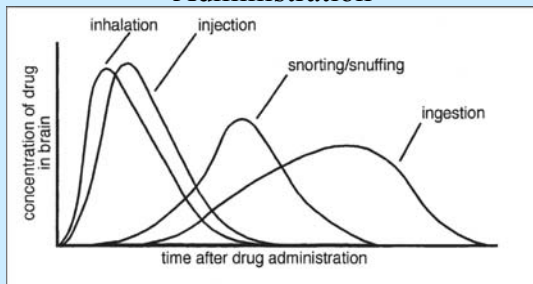
Pharmacology depends on delivery route
Reaches brain in 10 sec
Half-life 2 hours
Metabolized to cotinine in liver

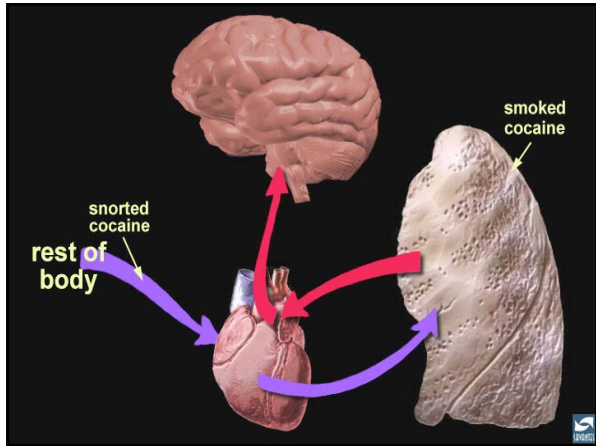
Routes of Drug Administration

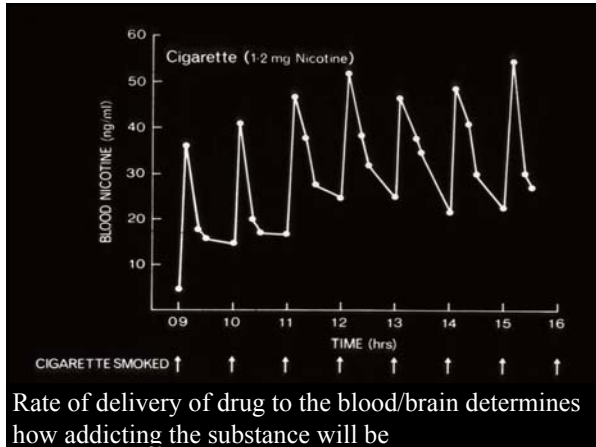
- Ingestion
- Inhalation
- Injection
- Snorting
- Through skin

Which is fastest?

Smoking is Fastest Route of Administration







Rate of delivery of drug to the blood/brain determines how addicting the substance will be

Tobacco Withdrawal

4 or more

- Depressed mood
- Insomnia
- Irritability, frustration or anger
- Anxiety
- Difficulty concentrating
- Restlessness
- Increased appetite or weight gain

Fagerstrom Test for Nicotine Dependence (FTND)

1. How soon after you wake up do you smoke your first cigarette?
 - (0) after 60 minutes (1 hour)
 - (1) 31 to 60 minutes
 - (2) 6 to 30 minutes
 - (3) Within 5 minutes
2. Have you found it hard to refrain from using tobacco in certain places (forbidden situations; i.e., movies, church, library, smoke-free building)?
 - (0) No
 - (1) Yes
3. Which cigarette would you hate most to give up?
 - (1) The first one in the morning
 - (0) Any others

FTND

4. How many cigarettes do you smoke each day?
 - (0) 10 or less
 - (1) 11 to 20
 - (2) 21 to 30
 - (3) 31 or more
5. Have you smoked more frequently during the first hours after waking than during the rest of the day?
 - (0) No
 - (1) Yes
6. Have you smoked if you were so ill that you were in bed most of the day?
 - (0) No
 - (1) Yes

FTND Scoring and Interpretation

- Your level of dependence on nicotine is:
- 0-2 Very low dependence
- 3-4 Low dependence
- 5 Medium dependence
- 6-7 High dependence
- 8-10 Very high dependence

Heatherton et al., Br J Addiction 1991

Heaviness of Smoking Index Measure of Dependence

Number of cigarettes per day (cpd)

AM Time to first cigarette (TTFC)

≤ 30 minutes = moderate

≤ 5 minutes = severe

Heatherton 1991

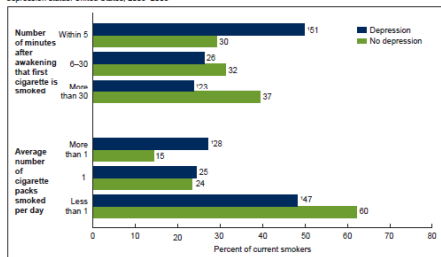
A smoker's levels of nicotine over 48 hours



Nicotine peak about 10-40 ng/ml. Trough 5-10 ng/ml

Smokers with depression smoke more cpd and are more dependent

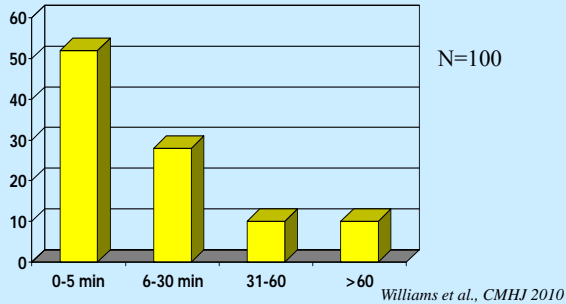
Figure 3. Percentage of current smokers aged 20 and over, by time of first cigarette and amount smoked per day, by depression status. United States, 2005-2008



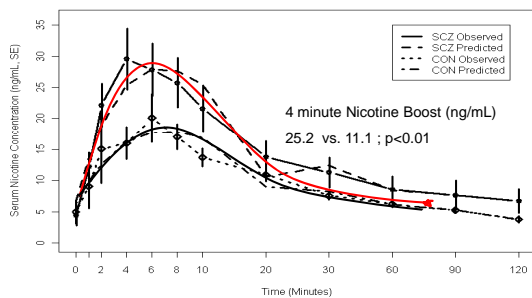
*Significantly different from no depression.

SOURCE: CDC/NCHS National Health and Nutrition Examination Survey, 2005-2008

80% of Smokers with SMI report smoking within 30min of awakening



HIGHER AND EARLIER NICOTINE PEAK IN SCHIZOPHRENIA



Time spent smoking - 5 min

Williams NTR 2010

NRT and Agitation in Smokers With Schizophrenia:

- 40 smokers in psych ER
- 21mg patch vs placebo patch
- Usual care for psychosis
- Agitated Behavior was 33% less at 4 hours and 23% lower at 24 hours for NRT group
- Better response in lower dependence
- Same magnitude of response as antipsychotic studies

Allen 2011; Am J Psych

Assessment of Carbon Monoxide

CO = product of combustion
Expired CO in smokers > 4 ppm
Displaces oxygen on RBCs
Strain on heart, risk factor for CVD

REVERSIBLE effect

Normal levels 2-3 days (0-3ppm)

Carbon Monoxide Meters



- Cost \$600-1500
- Cardboard mouthpiece
- Easy to use
- CO ppm (or %COHb)
- Lights and tone

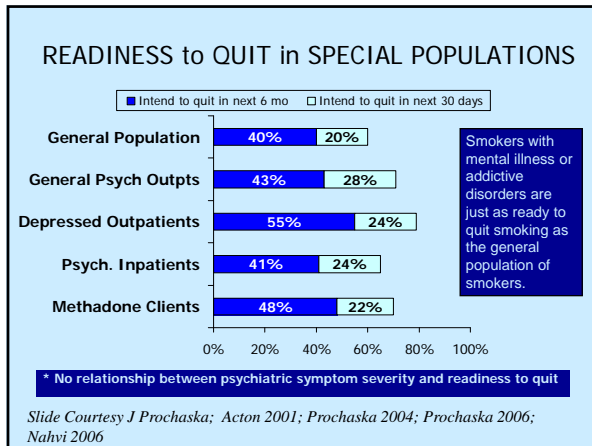
Purposes:

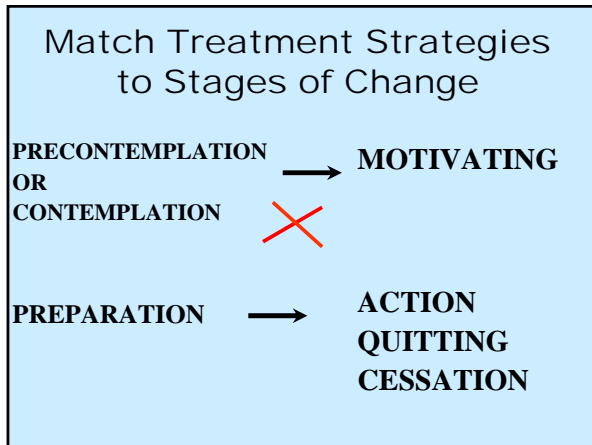
- Document smoke exposure
- Confirm abstinence
- Motivator

Stages of Change

Prochaska and DiClemente

- | | |
|--------------------|---|
| • Precontemplation | not thinking of stopping in next 6 months |
| • Contemplation | thinking of stopping in next 6 months |
| • Preparation | planning stop in next 1 month |
| • Action | quit date |
| • Maintenance | abstinent >6 months |







Language is Important

Tobacco Dependence Treatment vs.

“Smoking Cessation”

“Quitting”

“Stop Smoking”



Learning About Healthy Living
TOBACCO AND YOU

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AVAILABLE FREE ONLINE
<http://rwjms.umdj.edu/addiction/>

2012 Update

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RWJMS Division of Addiction Psychiatry
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Complete Wellness Approach

- **LAHL developed to help low motivated smokers**
- **Mental health settings**
- **Group format**
- **Education on range of topics**
 - Healthy eating
 - Increasing activity
 - Awareness of tobacco addiction

Learning About Healthy Living

- This treatment is designed as two groups.
- Group I - Learning About Healthy Living
- Group II - Quitting Smoking


- It is designed so that consumers can progress from Group I to Group II, when appropriate or desired

Group I: Learning About Healthy Living

- **20 Weeks**
- **Educational and Motivational**
- **Accepts all smokers with SMI**
- **Piloted in outpatients**
- **Smoking within the context of Healthy Living (Exercise, stress, & diet)**
- **Could change the order of the sessions and some may take longer than 1 session**

Section 5: Chapter 15

Why should I quit smoking?



Making the Decision to Quit **Making the Decision NOT to Quit**

It is important to stop and ask yourself if YOU should consider quitting smoking. What would be the benefits of quitting or not quitting?

We have already reviewed many of the harmful consequences and drawbacks of smoking in earlier chapters. Some are listed below:

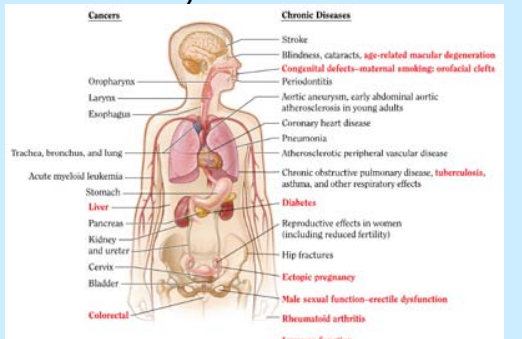
- ✓ Health problems and diseases
- ✓ Ugly visual problems including premature wrinkling of the skin, bad breath, bad smelling clothes, and yellow fingertips.
- ✓ Spending too much money on tobacco
- ✓ Keeps me addicted

Learning about Healthy Living 93 Consumer's Handbook - 2005

Biological Assessment

- Evaluate Tobacco Use Disorder
 - (Z72.0 Mild)
 - (F17.200 Moderate)
 - (F17.200 Severe)
- Tobacco Smoke Exposure/ Expired CO
- Nicotine Withdrawal Quitting History
- Medical Consequences of Tobacco Use

Illnesses Caused or Worsened by Tobacco



Cancers	Chronic Diseases
Oropharynx	Stroke
Larynx	Blindness, cataracts, age-related macular degeneration
Esophagus	Congenital defects—maternal smoking: orofacial clefts
Trachea, bronchus, and lung	Periodontitis
Acute myeloid leukemia	Aortic aneurysm, early abdominal aortic atherosclerosis in young adults
Stomach	Coronary heart disease
Liver	Pneumonia
Pancreas	Atherosclerotic peripheral vascular disease
Kidney and ureter	Chronic obstructive pulmonary disease, tuberculosis, asthma, and other respiratory effects
Cervix	Diabetes
Bladder	Reproductive effects in women (including reduced fertility)
Colorectal	Hip fractures
	Ectopic pregnancy
	Male sexual function—erectile dysfunction
	Rheumatoid arthritis
	Immune function
	Overall diminished health

Psychological Assessment

- Motivation to Quit
- Relationship of tobacco to symptoms
- Confidence/ self-efficacy about quitting
- Alternate coping strategies

Social Assessment

- Smokers in Home
- Smoking Indoors
- Smokers in Social Network
- Smoke-Free Recreation
- Support for Quitting
- Financial Consequences

Treatment Planning in the Behavioral Health Setting

- Add Tobacco Use Disorder (305.1) to Problem List and Treatment Plan
- Complete Assessment
- Identify measurable long-term and short-term goals

{HINT: Other substance abuse goals}

Principles of Co-occurring Disorders Treatment

- Integrated mental health and addiction services
- Comprehensive services
- Treatment matched to motivational level
- Long-term treatment perspective
- Continuous Assessment of substance use
- Motivational interventions
- Psychopharmacology
- Case management
- Housing

Treating Tobacco Cost Effective

- For every \$1 spent, statewide health care costs ↓ by \$3.60 (California 2000).
- MA Medicaid, use of a comprehensive tobacco cessation pharmacotherapy benefit was associated with a ↓ in claims for acute MI and CAD (Land 2010).
- Tobacco dependence treatment less costly than screening mammography and other measures
- If all smokers enrolled in Medicaid programs stopped smoking, the Medicaid program would save \$9.7 billion after 5 years. (Legacy 2009)

Government Publications Say this Should be Paid For

- Public Health Service clinical practice guidelines on Treating Tobacco: comprehensive coverage of effective tobacco-dependence medications and counseling by health insurers
- Healthy People 2010: objective for Medicaid programs to cover all FDA approved medications and counseling for tobacco cessation yet even as recently as 2007, only six states do



Fiore 2008; MMWR 2009

Reality of Today

Only 6 states have comprehensive benefit
23 states cover individual/ group tobacco
counseling for all Medicaid beneficiaries.
36% of Medicaid-enrolled smokers, and 60%
of physicians, knew that their State program
offered any coverage for tobacco treatments
Search at ALA website

<http://lungusa2.org/cessation2/>

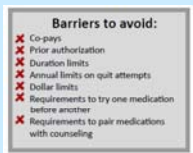
ALA 2012; McMenamin et al., 2004

Medicare Part B Coverage

- Varies for medications (poor for OTCs)
- Counseling since 2005
- 4 sessions (max 2x/year)
- Inpatient/outpatient
- Tobacco users: have a “disease or an adverse health effect linked to tobacco
- Intermediate 99406/G0375 (3-10min); \$12.89
- Intensive 99407/G0376 (>10mins); \$20.88
- Adjunctive to another visit

Recommendations for ideal Medicaid benefit

- Coverage of all 7 FDA approved meds
 - No PA
 - No requirement to be in counseling
 - No stepped care
 - No time limits
 - Allow combinations



- Coverage of multiple options for counseling
- Access to several courses of meds/ year
- Access to multi-session counseling/ year
- Low or no co-pay

ALA; PFP; Action to Quit 2010

Obama Administration Tells Health Insurers They Must Cover Evidence-Based Treatments to Help Smokers Quit (May 2014 ACA Updates)

Affordable Care Act (ACA) included language mandating coverage for all US Clinical Preventive Services Task Force (USPSTF) "A" and "B" level recommendations. (Tobacco cessation "A" level)

- 2 quit attempts must be covered each year
- at least four 10 minute counseling sessions per quit attempt (telephone, group or individual counseling)
- all FDA-approved cessation medications for 90 days per quit attempt, including OTC medications, when prescribed by a health care provider must be covered
- a prohibition against requiring pre-authorization for counseling or medication.

HHS document cites the 2008 United States Public Health Service Clinical Practice Guideline, *Treating Tobacco Use and Dependence* as its evidence source.

Short Term Goals

- Increase motivation about quitting
- Increase understanding that treatment works to increase chances of success in making a quit attempt
- Attend a LAHL group
- Become aware of three consequences of tobacco use
- To comply with tobacco-free policies while at treatment facility

Long Term Goals

- Quit smoking (eventual abstinence)
- Move Client to Preparation
- Make a 24 Hour Quit Attempt

Meet Mr Q

- 52 yo divorced, lives alone
- 24 cigarettes per day; TTFC 15 min
- Used patch for 2 weeks, then relapsed back to smoking
- Heart attack 5 years ago; Hypertension, High cholesterol, Hepatitis C positive
- Drinks 5 beers on weekends
- Struggling financially
- Doesn't want to quit at this time- too "stressed" but recognizes the problem

Assessment:

**Level of Dependence
Motivational Level**

Goal:

Intervention:

Tobacco Dependence Treatment Plan

Appendix C

Patient Name: _____

Problem:
Tobacco Dependence as evidenced by spending a great deal of time thinking, use despite known dangers of tobacco use, tolerance (increasing use over time to obtain desired effects), tobacco is unable to abstain from smoking during stress and noted medical problems.

CO Reading
Level of nicotine: ___ High ___ Average ___ Low ___ None

Goal:
To reduce or eliminate use of tobacco

Objectives:

1. Client will acknowledge that tobacco use is a problem for them.
2. Client will attend gain knowledge about the effects of their tobacco use by attending the Learning about Healthy Living Group on a weekly basis.
3. Client will learn about the medical complications caused by tobacco use and be able to identify personal medical concerns.
4. Client will learn about treatment medications to prevent and reduce withdrawal symptoms and be able to identify their preference to use.
5. Client will develop a quit plan with the assistance of staff.
6. Client will set a quit date and begin to abstain from smoking.
7. Client will progress from the educational/motivational group to the quit group.

Interventions:

1. Attend Learning about Healthy Living ___ Quit Group ___ Education/Motivational Group ___
2. Client will meet weekly with Therapist to discuss appropriate use of tobacco dependence treatment medications.
3. Treatment staff will help identify alternatives to trigger situations.

Signature of Clinician _____ Date _____

Conclusions

- Assessment and documentation is important first step in systems change
- Document nicotine dependence and motivation to change
- Carbon monoxide meter powerful tool
- Match strategies to patient motivational level

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Continue the conversation

Follow up Q&A Session:
Assessment and treatment planning


Friday, May 9, 2014
1:30 PM EDT

To register:
<https://www2.gotomeeting.com/register/288581810>

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Join us for our next webinar:



13 Step Model for Changing Behavioral Health Systems to Address Tobacco
Jill M Williams, MD
Professor and Director, Division of Addiction Psychiatry
Rutgers Robert Wood Johnson Medical School

Wednesday, May 21, 2014, 1:30 – 3:00 pm Eastern Time
To register:
<https://www2.gotomeeting.com/register/787140258>

Followed by a Q&A Session from 3:00-4:00 pm Eastern Time
<https://www2.gotomeeting.com/register/298207186>

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